



CF-86

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Howard W. Lutnick et al.

Application No.: 10/699,858 Confirmation No.: 5127

Filed : October 31, 2003

For : KEYBOARD TRADING SYSTEM

Group Art Unit : 2661

New York, New York
August 19, 2005

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER FOR THIRD
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

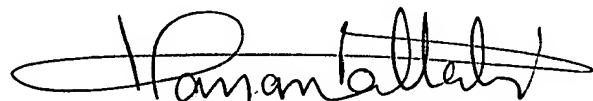
Transmitted herewith is a Third Supplemental Information Disclosure Statement in the above-identified application. This Statement is submitted:

[] within three months of the application filing date;

[X] more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Statement to Deposit Account No. 06-1075 (Order No. 000741-0086). A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,

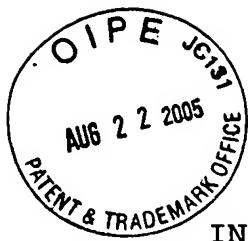


Hassan Albakri
Limited Recognition No. L0013
Agent for Applicants
FISH & NEAVE IP GROUP
ROPES & GRAY LLP
Customer No. 1473
1251 Avenue of the Americas
New York, New York 10020-1105
Tel.: (212) 596-9000

I hereby certify that this
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August 19, 2005


Signature of Person Acting



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THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicants wish to call the attention of the Examiner to the following documents:

U.S. Patent Documents

| | | |
|------------------|--------------|------------|
| Levy et al. | 3,541,526 | (11/17/70) |
| Sakaguchi et al. | Des. 308,693 | (06/19/90) |
| Lutnick et al. | 6,850,907 | (02/01/05) |

The aforementioned documents are listed on the accompanying Form PTO/SB/08A (submitted in duplicate), and copies of the documents are enclosed herewith.

It is respectfully requested that the documents be
(1) fully considered by the Patent and Trademark Office during

examination of this application; and (2) printed on any patent which may issue on this application. Applicants respectfully request that a copy of Form PTO/SB/08A, as considered and initialed by the Examiner, be returned with the next communication.

Respectfully submitted,



Hassan Albakri
Limited Recognition No. L0013
Agent for Applicants
FISH & NEAVE IP GROUP
ROPES & GRAY LLP
Customer No. 1473
1251 Avenue of the Americas
New York, New York 10020-1105
Tel.: (212) 596-9000



Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANTS**

(use as many sheets as necessary)

Sheet

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of

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Complete if known

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|----------------------|--------------------------|
| Application Number | 10/699,858 |
| Confirmation No. | 5127 |
| Filing Date | October 31, 2003 |
| First Named Inventor | Howard W. Lutnick et al. |
| Art Unit | 2661 |
| Examiner Name | Not yet assigned |

Attorney Docket Number CF-86

U.S. PATENT DOCUMENTS

| Examiner initials* | Cite No. ¹ | Document Number Number – Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Documents | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|-----------------------|---|--------------------------------|---|---|
| | | 3,541,526 | 11/17/1970 | Levy et al. | |
| | | Des. 308,693 | 06/19/1990 | Sakaguchi et al. | |
| | | 6,850,907 | 02/01/2005 | Lutnick et al. | |

Examiner
SignatureDate
Considered**FOREIGN PATENT DOCUMENTS**

| Examiner initials | Cite No. ¹ | Foreign Patent Document Country Code ¹ - Number ² - Kind Code ³ | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Documents | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁴ |
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NON PATENT LITERATURE DOCUMENTS

| Examiner initials | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T ⁴ |
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Examiner
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Considered